Modified PTO/SB/33 (10-05)

	Docket Number			
PRE-APPEAL BRIEF REQUEST FOR REVIEW		Q76440		
	Application	Number	Filed	
Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	10/616,980		July 11, 2003	
	First Named Inventor			
	Lieven TRAPPENIERS			
	Art Unit		Examiner	
	2155		Shawki Saif ISMAIL	
WASHINGTON OFFICE	12133		ISMAIL	
23373				
CUSTOMER NUMBER				
Applicant requests review of the final rejection in the above-identified Application.				
No amendments are being filed with this request.				
This request is being filed with a Notice of Appeal				
The review is requested for the reasons(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided.				
☑ I am an attorney or agent of record.				
Registration number 39,234	/Kellv	/Kelly G. Hyndman 39,234/		
	Signature			
		_		
		Kally G. Hyndman		
		Kelly G. Hyndman Typed or printed name		
		1) pou 01		
		(202) 293-7060		
	•	Telephone number		
		-		
		Decemb	per 3, 2007	
		I	Date	

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76440

Lieven TRAPPENIERS, et al.

Application. No.: 10/616,980

Group Art Unit: 2155

Confirmation No.: 2865

Examiner: Shawki Saif ISMAIL

Filed: July 11, 2003

For:

METHOD, COMPUTER SOFTWARE PRODUCTS, CLIENT TERMINAL, NETWORK ELEMENT AND NETWORK FOR EFFICIENT USE OF NETWORK RESOURCES BY JUST-IN-TIME MODULATION OF QUALITY OF SERVICE BASED ON SERVICE USAGE AND USER BEHAVIOR

PRE-APPEAL BRIEF REQUEST FOR REVIEW

MAIL STOP AF - PATENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the Pre-Appeal Brief Conference Pilot Program, and further to the Examiner's Final Office Action dated July 2, 2007, Applicant files this Pre-Appeal Brief Request for Review. This Request is also accompanied by the filing of a Notice of Appeal.

Applicant turns now to the rejections at issue:

Claim Rejection Under 35 U.S.C. § 102

Claims 1-12 stand rejected under 35 U.S.C. § 102(b) as allegedly being anticipated by U.S. Patent No. 6,208,640 to Spell et al. ("Spell"). Applicant traverses this rejection for at least the following reasons.

Claim 1 requires "receiving a user profile from a client terminal, said user profile comprising aggregated user behavior information recorded at said client terminal." (emphasis

added.) The Examiner alleges that Spell teaches this element of claim 1, citing col. 10, lines 7-31 of Spell.

The cited portion of Spell, however, states the following:

An administration component 516 can be provided to offer an end user a degree of control over his or her own ISDN usage.... A user may, for example, wish to indicate a particular balance between costs and level of service, or may wish to specify that, for example, e-mail messages are to receive top priority regardless of cost.

(Spell at col. 10, lines 7-31.) The quotation above merely appears to teach allowing a user to indicate manual preferences or settings, and clearly does not teach that a user profile could comprise "aggregated user behavior information recorded at said client terminal." This portion of Spell fails to describe recording or aggregating user behavior information, instead describing specific preferences which a user may separately indicate, for example, for e-mail or other applications. Such preferences or settings are not aggregated, and are not "user behavior information," as required by claim 1.

Claim 1 further requires "receiving QoS demands from said client terminal, said QoS demands determined based on said user profile." Since Spell fails to teach "said user profile" as described above, Spell also fails to teach this element of claim 1.

In the Advisory Action issued in response to Applicant's Response of November 2, 2007, received by Applicant via facsimile on November 29, 2007, the Examiner appears to argue that the Spell reference does teach "aggregated user behavior information" because it allegedly teaches considering actual usage information and user input. Although Spell does appear to receive user preference information from the client terminal, it still does not teach that "user behavior information" is "recorded at said client terminal," as required by claim 1, for example. (emphasis added.)

Attorney Docket No. Q76440

PRE-APPEAL BRIEF REQUEST FOR REVIEW

U.S. Application No. 10/616,980

Recorded user behavior information is clearly distinguishable from explicit preferences

chosen by a user. Furthermore, throughout the present application, and in claim 2, for example,

"user preferences" are clearly distinguished from user behavior information; these would

unquestionably be understood by one of ordinary skill in the art as substantially different types of

information, not only in terms of how they are obtained, but also in terms of what determinations

may be made based on the information. For example, although user preferences are by nature

generally limited to the preferences a user explicitly indicates, recorded user behavior

information does not necessarily require explicit indication by a user, and therefore is likely to

much more accurately describe a user's behavior.

Thus, Spell fails to teach each and every element of claim 1, and therefore fails to

anticipate claim 1. Accordingly, Applicant respectfully requests that the rejection of claim 1 and its

dependent claims 2-5 and 10-12 be withdrawn.

Independent claims 6, 7, and 9 recite features similar to those of claim 1. Applicant submits,

that these claims are, therefore, also patentable at least for reasons analogous to those presented

above with regard to claim 1. Accordingly, Applicant respectfully requests that the rejection of

independent claims 6, 7, and 9, and dependent claim 8 be withdrawn.

Respectfully submitted,

Kelly G. Hyndman

Registration No. 39,234

/Kelly G. Hyndman 39,234/

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373

CUSTOMER NUMBER

Date: December 3, 2007

3